

# DCCS Corporate and departmental risks - detailed report EXCLUDING COMPLETED ACTIONS

**Report Author:** Liane Coopey

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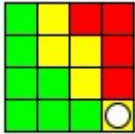
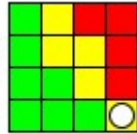



Rows are sorted by Risk Score

Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date/Risk Approach	Current Risk score change indicator
<b>DCCS HS 003 Lone Working</b>  14-Jan-2016 Liane Coopey; Paul Murtagh	<b>Cause:</b> Not implementing appropriate risk assessment and lone working device to mitigate the risk, not providing training nor effective management supervision to support lone workers. <b>Event:</b> Fail to enforce corporate policy and guidance followed by legislation. Lone working staff not taking adequate control measures if an event was to occur. <b>Effect:</b> Physical or mental harmed to staff can result to investigation and legal action, damaging the reputation of the City of London.	Likelihood Impact	12	Audit of users has been undertaken, monitoring is continuing on a monthly basis. Reports are being sent to team managers to encourage them to take ownership of device usage.  <b>20 Apr 2021</b>	Likelihood Impact	12		Constant
							Reduce	

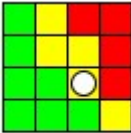
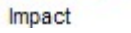
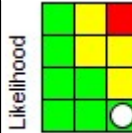

Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
DCCS HS 003c	Reviewing and implementing the Personal Safety Visiting Tool (PSVT);	Details now being entered for out of city estates onto Orchard and PSVT for the City Estates, as it is City services like Noise, etc., that would be going out to properties (& they don't have access to Orchard). PSVT list reviewed in March 2020 and several households were removed and a few added  Next action will be to integrate the PSVT information into the new housing management system. Go live date has been delayed to the 3rd quarter of 21/22	Paul Murtagh	20-Apr-2021	31-Dec-2021

DCCS HS 003d	Monthly monitoring of Skyguard usage	Improved usage of the PeopleSafe (formerly known as Skyguard) continues with approximately 50% of all users activating their devices at least once in March 2021. Given large number of staff still working from home it is to be expected that usage will remain relatively low. Managers are following up where staff are not using devices.	Liane Coopey; Paul Murtagh	20-Apr-2021	30-Sep-2021
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Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date/Risk Approach	Current Risk score change indicator
CR17 Safeguarding	<p><b>Cause:</b> Not providing appropriate training to staff or members; not providing effective leadership, management or supervision; poor case management, information sharing or actions.</p> <p><b>Event:</b> Failure to deliver actions under the City of London's Corporate Safeguarding Policy. All staff (and in particular social workers &amp; teachers) not taking appropriate action in relation to safeguarding issues.</p> <p><b>Effect:</b> Physical or mental harm suffered by a child or vulnerable adult, damage to the City of London's reputation, possible legal action, investigation by CQC and or Ofsted. (Risk description revised June 2019)</p>	<p>Likelihood</p>  <p>Impact</p>	8	<p>The network of Safeguarding Champions to fully embed safeguarding responsibilities across the organisation has been relaunched. Some of the existing champions have moved on or no longer perform this role. Chief Officers have been asked to nominate a Safeguarding Champion for their departments, and to report to the Director of Community and Children's Services every six months on the discharge of their safeguarding responsibilities. These actions have been delayed due to demands relating to the Covid-19 response and to the impact of the Target Operating Model which mean some departmental restructures.</p> <p>This network of Champions is still outstanding due to Covid-19 and will remain on register with a revised target date of May 2021.</p> <p>Monitoring of the demand for Adult and Children Social Care is taking place to ensure resources are adequate to manage the response to Covid-19.</p> <p>A virtual Corporate Parenting Training session will be available to ALL members of the Court of Common Council – this will provide context to the role of the Corporate Parent and consider how Members will be able to discharge corporate parenting duties.</p>	<p>Likelihood</p>  <p>Impact</p>	8		

22-Sep-2014 Andrew Carter				20 Apr 2021			Accept	Constant
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Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
CR17aa	A virtual Corporate Parenting Training session will be available to ALL members of the Court of Common Council – this will provide context to the role of the Corporate Parent and consider how Members will be able to discharge corporate parenting duties to support Children in Care and Care Leavers.	A virtual Corporate Parenting Training session will be available to ALL members of the Court of Common Council – this will provide context to the role of the Corporate Parent and consider how Members will be able to discharge corporate parenting duties to support Children in Care and Care Leavers.	Chris Pelham	20-Apr-2021	30-Jul-2021
CR17X	Chief Officers have been asked to nominate Safeguarding Champions and to report to the Director of Community and Children's Services every six months on the discharge of their safeguarding responsibilities.	<p>The network of Safeguarding Champions to fully embed safeguarding responsibilities across the organisation has been relaunched. Some of the existing champions have moved on or no longer perform this role. Chief Officers have been asked to nominate a Safeguarding Champion for their departments.</p> <p>Chief Officers have also been asked to report to the Director of Community and Children's Services every six months on the discharge of their safeguarding responsibilities.</p> <p>These provisions have been delayed due to extra demands on departments relating to the Covid-19 response and the impact of the new Target Operating Model.</p>	Andrew Carter	20-Apr-2021	31-May-2021

Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date/Risk Approach	Current Risk score change indicator
<b>DCCS HS 002</b> <b>Failure to carry out and review effective Fire Risk Assessments for more than 5000 units of residential accommodation and a number of commercial units</b>  14-Jan-2016 Liane Coopey; Paul Murtagh	<b>Cause</b> Fire Risk Assessments for managed properties not carried out effectively <b>Event</b> Fires do occur from time to time. Effective Assessments reduce the risk and identify if any changes to procedures or maintenance regimes that need to be reviewed or introduced <b>Effect</b> Fires can lead to significant property damage and potential loss of life	 Likelihood  Impact	8	A fire risk assessment action plan for all Barbican and housing estates is in place and is being regularly monitored. Works have been identified and are being carried out over a period of 18 months to 2 years. Works include the retrofitting of sprinkler systems in 5 high rise blocks, works to improve compartmentalisation and the replacement of entrance doors to meet current fire safety standards.  We are working with our specialist consultant, Turner Townsend, to carry out new Fire Risk Assessments for the Barbican Residential Estate and, all our social housing estates. We will start with our high-rise blocks, with the view to completing all the new Fire Risk Assessments by 31 March 2022.  <b>20 Apr 2021</b>	 Likelihood  Impact	4	31-Mar-2022	Constant
							Reduce	

Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
DCCS HS 002c	Community and Children's Services Committee has approved the retrofitting of sprinklers in the 5 high rise tower blocks within the City's social housing portfolio.	<ul style="list-style-type: none"> <li>The procurement process for the sprinkler project is nearing completion and, subject to Committee approval of the Gateway 5 Report to appoint the successful contractor, we expect to award the contract in April this year.</li> <li>The projected start date for the project is the third quarter of 2021, with completion of the</li> </ul>	Paul Murtagh	20-Apr-2021	31-Dec-2021

		whole project by March 2022. It is intended that work will start simultaneously on our Middlesex Street / Avondale Estate, with a staggered approach, moving on to Great Arthur House once planning permission is granted.			
DCCS HS 002d	Community and Children's Services Committee has approved a programme to replace all main entrance doors in the City's social housing blocks of flats. The new fire doors will provide a minimum of 30 minutes and up to 60 minutes of fire resistance.	Contractors are on site surveying with prices due back in April. Work to commence in summer	Paul Murtagh	20-Apr-2021	30-Sep-2021

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DCCS HS 006 Failure to deliver new homes programme  								

Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
DCCS HS 006a	Review of remaining projects for new development programme to finalise costs and dates	Nothing further since the annual review report in March – next annual review will be March 2022	Paul Murtagh	20-Apr-2021	31-Mar-2022
DCCS HS 006b	Identify alternative models of delivering new homes such as working with private developers/housing associations or other local authorities	Work still in progress to identify new models of delivery. This will be picked up in the next report for Avondale Square Estate. An application has been submitted an application for GLA funding to support new a new approach	Paul Murtagh	20-Apr-2021	31-Mar-2022

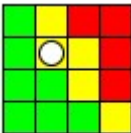
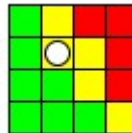
DCCS HS 006c	Establish costs and delivery dates for what can be delivered within existing funding streams	Overview Report submitted to DCCS Committee in March. Next report will probably be early next year. .	Paul Murtagh	20-Apr-2021	31-Mar-2022
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DCCS HS 004 <b>Housing Finance Changes</b>  15-Aug-2016 Paul Murtagh	<b>Cause</b> Changes to housing financing <b>Event</b> Possible shortfall in Housing Revenue Account funding <b>Effect</b> – Inability to fund the estimated 30 year expenditure plans regarding the City of London’s Social Housing		6	A review and remodel the Housing Revenue Account 30 year Business Plan is being undertaken. This is complex task looking at assets and finances across this period.  Review of rent collection processes has been completed and agreed by Housing Management and Almshouses sub-committee in November 19  <b>20 Apr 2021</b>		4	31-Mar-2022	
							Reduce	

Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
DCCS HS 004d	A further review and remodelling of the 30 year Business Plan is to be undertaken.	A comprehensive review of the Housing Revenue Account and the 30-year Business Plan was completed three years ago and, a detailed report was presented to, and agreed by the Community & Children’s Services Committee. Following this review, the Major Works Improvement Programme was reprofiled and response maintenance and housing management costs reassessed. Whilst this has gone some way to mitigating the potential risk, the COVID-19 situation has had a significant impact on the delivery of the Major Works Programme and, our response repairs and maintenance and housing management costs. As such, it has been agreed that a further review of the Housing Revenue Account and the 30-year Business Plan is required to help manage this risk. The review is scheduled for completion by 31 December	Paul Murtagh	01-Apr-2021	31-Dec-2021



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<b>DCCS HS 005 Major works programme</b>  07-Jan-2020 Paul Murtagh	<b>Cause:</b> Shortfall in funding/increase in costs of major works programme <b>Event:</b> Inability of the City of London to fund major works improvements and fire safety works <b>Effect:</b> Detrimental to property conditions and living standards	 Likelihood	6	Review of Major Works Programme completed and awaiting Committee approval (date to be confirmed)  Delivery of Major Works are not anticipated before 2022  <b>20 Apr 2021</b>	 Likelihood	6		Constant
		Impact			Impact			

Action no	Action description	Latest Note			Action owner	Latest Note Date	Due Date
DCCS HS 005a	Review of major works programme alongside other works including fire safety improvements and planned maintenance	Major Works programme compiled and delayed to accommodate H&S works subject to financial validation for capital funding.			Paul Murtagh	20-Apr-2021	31-Mar-2022